

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

For new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No.	48-8-2A	Total Pages	2
First Named Inventor or Application Identifier	Berkey et al.		
Title	Projection Lithography Photomask Blanks, Preforms and Method of Making		
Express Mail Label No.	EL689104218US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:  
I certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on June 6, 2001

(Date) Colleen E. Doherty  
Signature Colleen E. Doherty

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

JC903 U.S.P.T.O.  
09/06/01  
09/06/01

"EXPRESS MAIL" Mailing Label No. EL689104218US

1.  \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)

[Total Pages

31

2.  Specification  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. § 113)

[Total Sheets

12

[Total Pages

2

4. Oath or Declaration

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)

c.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Copy

b.  Paper Copy (identical to computer copy)

c.  Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7.  Assignment Papers (cover sheet & document(s))
- 8.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
- 9.  English Translation Document (if applicable)
- 10.  Information Disclosure  
Statement (IDS)/PTO-1449  Copies of IDS  
Citations
- 11.  Preliminary Amendment
- 12.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
- 14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed) 15.  Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/397,777, filed 9/16/99

Prior application information: Examiner: S. Rosasco Group / Art Unit: 1756

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

22928

or  Correspondence address below

Customer Number or Bar Code Label

NAME	Edward F. Murphy		
ADDRESS	Corning Incorporated, SP-TI-3-1		
CITY	Corning	STATE	NY
COUNTRY	USA	TELEPHONE	3716
Name (Print/Type)	Edward F. Murphy	ZIP CODE	14831
Signature	<i>Edward F. Murphy</i>	FAX	(607) 974-3848
	Registration No. (Attorney/Agent)	38,251	
	Date	6/10/01	

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**FEE TRANSMITTAL**  
for FY 2000

Complete if Known	
Application Number	09/397,577
Filing Date	September 16, 1999
First Named Inventor	Berkey, George
Examiner Name	S. Rosasco
Group / Art Unit	1756

**TOTAL AMOUNT OF PAYMENT (\$2,048.00)**

Attorney Docket Number Berkey 48-8-2

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Fee Description	Fee Paid
101	Utility filing fee	710.00
106	Design filing fee	320
107	Plant filing fee	490
108	Reissue filing fee	710
114	Provisional filing fee	150
<b>SUBTOTAL (1)</b>		<b>(\$710.00)</b>

**2. EXTRA CLAIM FEES**

Extra Fee from  
Claims below Fee Paid  
Total Claims 81 - 20\*\* = 61 x 18 = 1,098.00

Independent Claims 6 - 3\*\* = 3 x 80 = 240.00

Multiple Dependent = 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Fee Description
103	Claims in excess of 20
102	Independent claims in excess of 3
104	Multiple dependent claim, if not paid
109	** Reissue independent claims over original patent
110	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>	

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Fee Description	Fee Paid
105	Surcharge - late filing fee or oath	130
127	Surcharge - late provisional filing fee or cover sheet	50
139	Non-English specification	130
147	For filing a request for reexamination	2,520
112	Requesting publication of SIR prior to Examiner action	920*
113	Requesting publication of SIR after Examiner action	1,840
115	Extension for reply within first month	110
116	Extension for reply within second month	390
117	Extension for reply within third month	890
118	Extension for reply within fourth month	1,390
128	Extension for reply within fifth month	1,890
119	Notice of Appeal	310
120	Filing a brief in support of an appeal	310
121	Request for oral hearing	270
138	Petition to institute a public use proceeding	1,510
140	Petition to revive - unavoidable	110
141	Petition to revive - unintentional	1,240
142	Utility issue fee (or reissue)	1,240
143	Design issue fee	440
144	Plant issue fee	600
122	Petitions to the Commissioner	130
123	Petitions related to provisional applications	50
126	Submission of Information Disclosure Stmt	240
581	Recording each patent assignment per property (times number of properties) _____ x	40
146	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	710
149	For each additional invention to be examined (37 C.F.R. § 1.129(b))	710
Other fee (specify) _____		
Other fee (specify) _____		
*Reduced by Basic Filing Fee Paid		
<b>SUBTOTAL (3)</b>		<b>(\$)</b>

**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Edward F. Murphy	Registration No. (Attorney/Agent)	38,251
Signature	<i>Edward F. Murphy</i>	Date	6/6/01